

**New Metabolites with Nematicidal and Antimicrobial Activities from
the Ascomycete *Lachnum papyraceum* (Karst.) Karst[†]**

IV. Structural Elucidation of Novel Isocoumarin Derivatives

MARC STADLER and HEIDRUN ANKE

University of Kaiserslautern, Department of Biotechnology,
Paul-Ehrlich-Straße 23, D-67663 Kaiserslautern (Germany)

OLOV STERNER*

University of Lund, Department of Organic Chemistry 2, Chemical Center,
P.O.B. 124, S-221 00 Lund (Sweden).

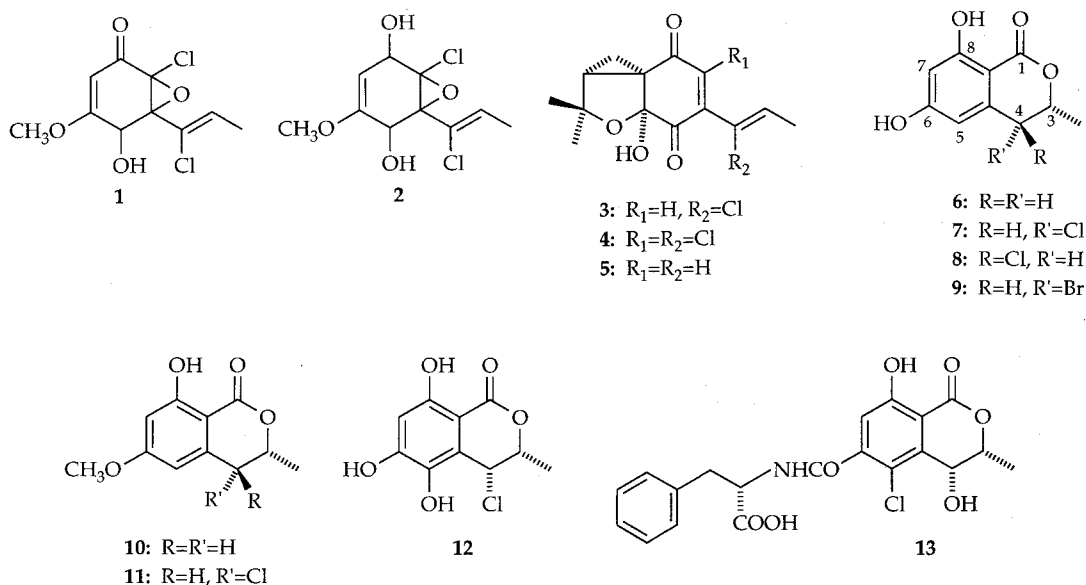
(Received for publication July 28, 1994)

The structures of four new biologically active halogenated dihydroisocoumarins isolated from submerged cultures of the ascomycete *Lachnum papyraceum* have been elucidated by spectroscopic methods. The compounds are structurally related to lachnumon and mycorrhizin A, which are also produced by the fungus.

In a previous investigation of the nematicidal metabolites produced by submerged cultures of the wood-inhabiting ascomycete *Lachnum papyraceum*, five active metabolites were isolated¹⁾, and characterised²⁾ as lachnumon (1), lachnumol A (2), mycorrhizin A (3), chloromycorrhizin A (4) and dechloromycorrhizin A (5). During an investigation of the influence of CaBr₂ on the biosynthesis of chlorinated secondary metabolites in *Lachnum papyraceum*, it was noted that the production of the mycorrhizins and lachnumon type antibiotics was strongly inhibited by the addition of 5 mM CaBr₂ in the

culture medium³⁾. Instead six dihydroisocoumarin (or isochroman-1-one) derivatives, 6,8-dihydroxy-3-methylisochroman-1-one (or 6-hydroxymellein⁴⁾) (6), 4-chloro-6,8-dihydroxy-3-methylisochroman-1-one (7), 4-bromo-6,8-dihydroxy-3-methylisochroman-1-one (9), 8-hydroxy-6-methoxy-3-methylisochroman-1-one (or 6-methoxymellein⁵⁾) (10), 4-chloro-8-hydroxy-6-methoxy-3-methylisochroman-1-one (11), and 4-chloro-5,6,8-trihydroxy-3-methylisochroman-1-one (12) could be isolated. While compounds 6 and 10 have been isolated from various sources and could be identified by comparing their

Structures



[†] Dedicated to Prof. Dr. H. ZAEHNER, University of Tübingen, on the occasion of his 65th birthday.

physical data with those previously reported^{4,5}), compounds **7**, **9**, **11**, and **12** are new.

The structures of the dihydroisocoumarins **7**, **9**, **11** and **12** were elucidated by spectroscopic methods. The isotope patterns observed in the mass spectra of the compounds indicated that they are halogenated, and the elemental compositions given in Table 1 were suggested by high resolution mass spectroscopy. The three chlorinated compounds **7**, **11** and **12** all lose CO₂ (according to high resolution mass spectroscopy) in the EI-MS, indicating that they are carboxyl acid derivatives. The brominated compound **9** loses Br very easily, and the mass spectrum of **9** differs in that respect from those of compounds **7**, **11** and **12**. Although the solubility of several of the compounds was limited in pure chloroform, a singlet at approximately 11 ppm in the ¹H NMR spectra of the compounds recorded in CDCl₃ suggested that all contain a hydrogen bonded hydroxyl proton. 2D correlation NMR spectroscopy revealed the short-range ¹H-¹H and ¹H-¹³C couplings, and the ¹H and ¹³C NMR data for compounds **7**, **9**, **11** and **12** are given in Tables 2 and 3. In the ¹H NMR spectra of compounds **7** and **11** two meta-coupled aromatic protons can be seen (the signals for the two aromatic protons of compound **9** are overlapping), and one gives a long-range ¹H-¹H coupling with the benzylic proton. The ¹³C chemical shifts of the corresponding benzylic carbons make this position most likely to be the one that is halogenated. Significant ¹H-¹³C long-range correlations observed for compounds **7** and **12** are shown in Fig. 1. The corresponding correlations were also observed for compounds **9** and **11**, in addition to the correlation from 6-OCH₃ to C-6 for compound **11**.

The absolute stereochemistry of (–)-6-hydroxymellein (**6**) and (–)-6-methoxymellein (**10**) isolated in this investigation is known⁶, and it is reasonable to assume that C-3 in the other dihydroisocoumarins obtained here also has the *R*-configuration. However, the enantiomers of several mellein derivatives (e.g. 6-hydroxymellein⁷) have also been isolated from natural sources. The assignment of the relative C-3/C-4 stereochemistry is based on comparisons with literature data. The *J*_{3,4} of similar dihydroisocoumarins (e.g. *cis*-4-hydroxymellein^{8,9} and 4-hydroxyochratoxin A **13**¹⁰) with the C-3 methyl and C-4 hydroxy groups *cis* are approximately 2 Hz, while *J*_{3,4} in *trans*-4-hydroxymellein, isolated from *Apiospora camptospora*, has been reported to be 4 Hz⁸. Both isomers of 4-hydroxy-5-methylmellein were isolated as phytotoxic metabolites of the fungus *Valsa ceratosperma*¹¹, and the *J*_{3,4} is unexpectedly reported to be

Fig. 1. Significant ¹H-¹³C long-range correlations observed with compounds **7** and **12**.

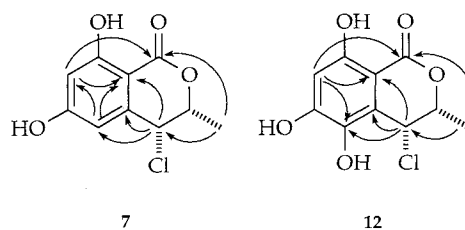
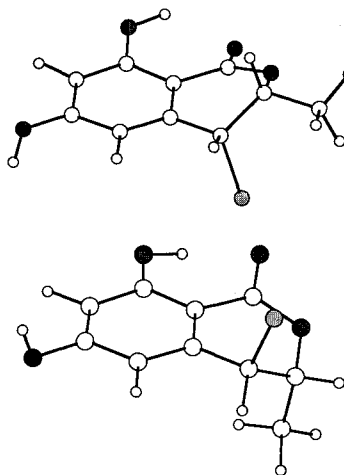


Fig. 2. The most stable conformations of compound **7** (top) and compound **8** (bottom). See also Table 4.



1.5 Hz for the *cis* isomer and 1.4 Hz for the *trans* isomer. A larger difference was on the other hand noted for the ¹H chemical shift of the C-3 methyl groups of the two isomers, which is 1.63 ppm for the *cis* isomer and 1.28 ppm for the *trans* isomer (while it is 1.48 ppm for 6-hydroxymellein (**6**)). The values can be explained if the two isomers exist in different conformations¹¹, with the C-3 methyl group in an axial position and thereby shielded by the benzene ring in the *trans* isomer. Molecular mechanics calculations with the isomers **7** and **8** (compound **8** is hypothetical) confirm this (see the experimental part and Table 4), and the most stable conformations of compounds **7** and **8** are shown in Fig. 2. (The identical calculations with compound **12** and its hypothetical *trans* isomer gave very similar results, data not shown.) The *J*_{3,4} of the C-4 halogenated derivatives **7**, **9**, **11** and **12** are all close to 2 Hz (see Table 2) and the chemical shift for the C-3 methyl groups are between 1.5 and 1.6 ppm, suggesting that they all are *cis* isomers.

3-Methyldihydroisocoumarins with aromatic carbons chlorinated instead of C-4 have previously been isolated from *Sporormia affinis* and *Periconia macrospinosa*^{4,12}. It has also been shown that such dihydroisocoumarins are polyketide metabolites and that they are precursors to the chlorinated cyclopentenes in *Periconia macro-*

Table 1. Physico-chemical properties of compounds 7, 9, 11 and 12.

	7	9	11	12
Appearance	Colourless crystals	Colourless crystals	Colourless crystals	Colourless crystals
MP (°C)	165~169	179~183	131~133	120~123
$[\alpha]_D^{25}$	-75° (c 1.0 in CHCl ₃)	-145° (c 2.0 in CHCl ₃)	-69° (c 0.1 in CHCl ₃)	-5.7° (c 0.4 in CHCl ₃)
Molecular formula	C ₁₀ H ₉ O ₄ Cl	C ₁₀ H ₉ O ₄ Br	C ₁₁ H ₁₁ O ₄ Cl	C ₁₀ H ₉ O ₅ Cl
HREI-MS (<i>m/z</i>)				
Observed	228.0173 M ⁺	271.9697 M ⁺	242.0353 M ⁺	244.0137 M ⁺
Calculated	228.0189 for C ₁₀ H ₉ O ₄ ³⁵ Cl	271.9685 for C ₁₀ H ₉ O ₄ ⁷⁹ Br	242.0346 for C ₁₁ H ₁₁ O ₄ ³⁵ Cl	244.0138 for C ₁₀ H ₉ O ₅ ³⁵ Cl
EI-MS	230 (35% of 228), 228 (61%), 193 (10%), 186 (35% of 184), 184 (100%), 165 (72%), 150 (13%), 121 (22%)	274 (100% of 272), 272 (18%), 193 (100%), 165 (68%), 150 (22%), 121 (23%)	244 (35% of 242), 242 (75%), 207 (16%), 200 (35% of 198), 198 (100%), 179 (70%), 164 (12%), 135 (19%)	246 (35% of 244), 244 (88%), 217 (35% of 215), 215 (19%), 202 (35% of 200), 200 (57%), 171 (100%)
UV (MeOH)	220 (15,700), 269 (7,600), 309 (4,700)	231 (6,200), 272 (2,900), 313 (2,000)	219 (7,800), 268 (3,500), 308 (2,100)	219 (5,400), 268 (2,200), 314 (1,600)
λ_{\max} nm (ϵ)	3420, 3190, 1665, 1630, 1385, 1250, 1165, 1105	3420, 3200, 1665, 1630, 1380, 1250, 1165, 1110	3440, 1675, 1620, 1315, 1265, 1195, 1175, 1110	3400, 1660, 1610, 1380, 1240, 1120
IR (KBr) cm ⁻¹				
TLC (Rf)	0.45 ^a , 0.43 ^b	0.43 ^a , 0.42 ^b	0.81 ^a , 0.52 ^b	0.42 ^a , 0.52 ^b

^a Merck, Kieselgel 60 F₂₅₄: Toluene - acetone - AcOH (70:30:1).

^b Merck, Kieselgel 60 F₂₅₄: Toluene - ethyl formiat - formic acid (10:5:3).

Table 2. ¹H NMR data of compounds 7, 9, 11, and 12. The spectra were recorded in CDCl₃ (compound 11) or CDCl₃:CD₃OD 20:1 at 500 MHz. The CDCl₃ signal (7.26 ppm) was used as a reference.

Proton:	7	9	11	12
3-H	4.75 (dq; 2.0, 6.3)	4.42 (dq; 2.0, 6.3)	4.79 (dq; 2.0, 6.4)	4.52 (dq; 1.9, 6.6)
4-H	4.80 (dd; 0.5, 2.0)	4.94 (dd; 0.3, 2.0)	4.84 (dd; 0.5, 2.0)	4.78 (d; 1.9)
5-H	6.36 (dd; 0.5, 2.3)	6.31 (m)	6.45 (dd; 0.5, 2.3)	—
7-H	6.37 (d; 2.3)	6.31 (m)	6.48 (d; 2.3)	6.49 (s)
9-H ₃	1.57 (d; 6.3)	1.52 (d; 6.3)	1.61 (d; 6.4)	1.55 (d; 6.6)
6-OCH ₃	—	—	3.84 (s)	—
8-OH	—	—	11.12 (s)	—

Table 3. ¹³C NMR data of compounds 7, 9, 11, and 12. The spectra were recorded in CDCl₃ (compound 11) or CDCl₃:CD₃OD 20:1 at 125 MHz, and the CDCl₃ signal (77.0 ppm) was used as a reference.

Carbon No.	7	9	11	12
C-1	168.6 (s)	168.7 (s)	168.4 (s)	168.6 (s)
C-3	76.0 (d)	75.8 (d)	76.0 (d)	77.5 (d)
C-4	57.0 (d)	49.2 (d)	56.9 (d)	64.6 (d)
C-4a	141.2 (s)	142.4 (s)	140.8 (s)	138.6 (s)
C-5	107.4 (d)	107.1 (d)	106.8 (d)	110.2 (s)
C-6	164.2 ^a (s)	164.6 ^a (s)	166.0 (s)	163.0 ^a (s)
C-7	103.8 (d)	103.7 (d)	101.7 (d)	104.8 (d)
C-8	164.5 ^a (s)	164.1 ^a (s)	164.8 (s)	158.2 ^a (s)
C-8a	97.5 (s)	98.9 (s)	99.6 (s)	101.7 (s)
C-9	17.8 (q)	19.6 (q)	17.9 (q)	16.1 (q)
OCH ₃			55.8 (q)	

^a Interchangeable.

Table 4. The steric energies (in kcal/mol) of the two most stable conformers of compound 7 and 8, obtained by molecular mechanics calculations. See also Fig. 2.

Compound	C-3 methyl:	Axial	Equatorial
7		1.98	4.54
8		4.20	1.70

*spinosa*¹³). The co-isolation of the dihydroisocoumarins with the mycorrhizins (compounds 3~5) supports the suggestion¹⁴) that the the biosynthesis of the latter preceeds *via* dihydroisocoumarins.

Experimental

The compounds were isolated from the organic extract of a culture filtrate of the fungus *Lachnum papyraceum*³). UV spectra were obtained with a Perkin Elmer λ 16, and IR spectra with a Bruker IFS 48. The optical rotation was measured with a Perkin Elmer 1541 polarimeter with a cell path of 10 cm. EI-MS and HREI-MS spectra (direct inlet, EI at 70 eV) were recorded with a Jeol JMS-SX102 spectrometer, and NMR spectra (in CDCl₃ or CDCl₃ - CD₃OD, 20: 1) were obtained with a Bruker ARX500 spectrometer. TLC experiments were performed on Merck Kieselgel 60 F₂₅₄ precoated plates, and LC separations were performed on a Merck Lobar prepacked silica gel column. The MM calculations were made with the MacMimic program (version 2.9), obtained from InStar Software AB (Lund, Sweden), on a Macintosh Quadra 700. The torsion parameters for C (carbonyl)-C(sp²)-C(sp²)-O(sp³) were not provided in the program, and were set to: V1=0.0; V2=15.0; V3=0.0.

Acknowledgments

Financial support from the Swedish Natural Science Research Council and Studienstiftung des deutschen Volkes is gratefully acknowledged.

References

- 1) STADLER, M.; H. ANKE; W. R. ARENDHOLZ; F. HANSSKE, U. ANDERS, K. E. BERGQUIST & O. STERNER: Lachnumon and lachnumol, new metabolites with nematocidal and antimicrobial activities from the ascomycete *Lachnum papyraceum* (Karst.) Karst. I. Producing organism, fermentation, isolation and biological activities. *J. Antibiotics* 46: 961~967, 1993
- 2) STADLER, M.; H. ANKE; K. E. BERGQUIST & O. STERNER: Lachnumon and lachnumol, new metabolites with nematocidal and antimicrobial activities from the ascomycete *Lachnum papyraceum* (Karst.) Karst. II. Structural elucidation. *J. Antibiotics* 46: 968~971, 1993
- 3) STADLER, M.; H. ANKE & O. STERNER: Metabolites with nematocidal and antimicrobial activities from the ascomycete *Lachnum papyraceum* (Karst.) Karst. III. Production of novel isocoumarin derivatives, isolation, and biological activities. *J. Antibiotics* 48: 261~266, 1995
- 4) MCGAHREN, W. J. & L. A. MITSCHER: Dihydroisocoumarins from a *Sporormia fungus*. *J. Org. Chem.* 33: 1577~1580, 1968.
- 5) DUNN, A. W. & R. A. W. JOHNSTONE: Fungal metabolites part 7. Structures of C25 compounds from *Aspergillus varicolor*. *J. Chem. Soc. Perkin Trans. I:* 2113~2117, 1979
- 6) ANTUS, S.; G. SNATZKE & I. STEINKE: Synthese und circulardichroismus von steroiden mit isochromanonchromophor. *Justus Liebigs Ann. Chem:* 2247~2261, 1983.
- 7) VENKATASUBBAIAH, P. & W. S. CHILTON: Toxins produced by the dogwood anthracnose fungus *Discula* sp. *J. Nat. Prod.* 54: 1293~1297, 1991
- 8) ALDRIDGE, D. C.; S. GALT, D. GILES & W. B. TURNER: Metabolites of *Lasiodiplodia theobromae*. *J. Chem. Soc. (C):* 1623~1627, 1971
- 9) CAMARDA, L.; L. MERLINI & G. NASINI: Metabolites of *Cercospora*. Taiwapyrone, an α -pyrone of unusual structure from *Cercospora taiwanesis*. *Phytochem.* 15: 537~539, 1976
- 10) HUTCHISON, R. D. & P. S. STEYN: The isolation and structure of 4-hydroxy-ochratoxin A and 7-carboxy-3,4-dihydro-8-hydroxy-3-methylisocoumarin from *Penicillium viridicatum*. *Tetrahedron Lett.:* 4033~4036, 1971
- 11) OKUNO, T.; S. OIKAWA, T. GOTO, K. SAWAI, H. SHIRAHAMA & T. MATSUMOTO: Structures and phytotoxicity of metabolites from *Valsa ceratosperma*. *Agric. Biol. Chem.* 50: 997~1001, 1986
- 12) GILES, D. & W. B. TURNER: Chlorine-containing metabolites of *Periconia macrospinoso*. *J. Org. Chem. (C):* 2187~2189, 1969
- 13) HOLKER, J. S. E. & K. YOUNG: Biosynthesis of metabolites of *Periconia macrospinoso* from [1-¹³C]-, [2-¹³C]-, and [1,2-¹³C]-acetate. *J. Chem. Soc. Chem. Commun:* 525~526, 1975
- 14) CHEXAL, K. K.; C. TAMM, J. CLARDY & K. HIROTSU: Gilmicolin and mycorrhizinol, two new metabolites of *Gilmaniella humicola* Barron. *Helv. Chim. Acta* 62: 1129~1142, 1979